Atty. Dkt. No. 085874-0316



HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Henry AZIMA et al.

Title:

ACOUSTIC DEVICE AND METHOD FOR DRIVING IT

Appl. No.:

09/834,960

Filing Date: 04/16/2001

Examiner:

Unassigned

Art Unit:

2643

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56**

**Commissioner for Patents** Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.113, or an action that otherwise closes prosecution in the application.

Technology Center 2600



## RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

WO 96/31086 and WO 97/09842 are not being submitted herewith since they were previously submitted with the Information Disclosure Statement filed in the U.S. Patent and Trademark Office on August 7, 2001.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

## **STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

JUN 2 1 2002

Date

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Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted:

JUN 2 1 2002

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	09/834,960			
Filing Date	04/16/2001			
First Named Inventor	Henry AZIMA			
Group Art Unit	2643			
Examiner Name	Unassigned			
Attorney Docket Number	085874-0316			

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,	
			Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS								
	Cite	Fore	oreign Patent Document		Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Documents	MM-DD-YYYY	Passages or Relevant Figures Appear	T6
	A1	WO	98/53638	A2	New Transducers Limited	11/26/1998		
	A2	wo	00/05920	A1	New Transducers Limited	02/03/2000		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ <sup>6</sup>		

Examiner	Date	
Signature	Considered	1

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.